

**BẢN DỊCH TIẾNG ANH**  
**(ENGLISH)**



No.: 656/UBND-CTĐT

Thai Nguyen, 16 March 2021

## APPROVAL OF INVESTMENT POLICY

(First certification: March 2021)

*Pursuant to the Law on Local Government Organization No. 77/2015 / QH13 and Law No. 47/2019 / QH14 of the National Assembly of the Socialist Republic of Vietnam; Pursuant to the Law on Investment No. 61/2020 / QH14 dated June 17, 2020;*

*Pursuant to Document No. 324 / BKHDT-PC dated January 20, 2021 of the Ministry of Planning and Investment on the implementation of the Law on Investment;*

*Pursuant to the appraisal report No. 241/ BC-SKHDT dated January 29, 2021 of the Department of Planning and Investment, implementing the Conclusion No. 30-KL / TU dated March 1, 2021 of the Standing Committee of the Provincial Party Committee.*

### ACCEPTANCE:

**1. Name of project:** Investment in construction of Yen Binh concentrated information technology park, Thai Nguyen province

**2. Investment objectives:**

- Building a synchronous and modern infrastructure system to achieve the goals of developing information technology parks in accordance with the provisions of Decree No. 154/2013/ND-CP dated November 8, 2013 of the Government on information technology parks.

- Creating a favorable business environment for investment activities to attract domestic and foreign capital and technology, contributing to the successful implementation of Resolution No.: 01-NQ/TU of Thai Nguyen Provincial Party Committee on the Program of Digital Transformation in Thai Nguyen province in the period 2021 - 2025 with a vision to 2030, to build Thai Nguyen province be come one of the leading provinces in the country in information technology and electronics application and development.

**3. Project location:** Tien Phong Commune, Pho Yen Town and Nga My Commune, Phu Binh District, Thai Nguyen Province.

**4. Total area:** 200 ha.

**5. Project scale:**

5.1. Scale of project land use: 200 ha.

5.2. Functional subdivisions:

In accordance with the provisions of Decree 154/2013 / ND-CP dated November 8, 2013 of the Government on information technology parks, including 02 groups of subdivisions as follows:

- Group of subdivisions performing functions and tasks of information technology parks.

- Subdivision group providing services for information technology parks.

5.3. Investment content:

- Investing in the synchronous construction of technical infrastructure works in the information technology park, including technical infrastructure of the subdivision that performs the functions and tasks of the information technology park and the supply zone - providing services to information technology parks.

- Investment in the construction of housing, commercial and service centers in the subdivision providing services to the information technology park.

- Mobilizing secondary investors to lease land to invest in projects in accordance with the planning and development goals of Yen Binh concentrated information technology zone and orientations of the Province.

**6. Current land use:**

- The proposed project area is mainly agricultural land such as planting crops, rice, production forest land, irrigation canals, existing residential land.

- Current land for traffic in the planned area is partly village roads, concrete structures; interior field roads for farming.

**7. Implementation progress:**

- Quarter I / 2021: Approval of project investment policy.

- Quarter II / 2021: Select Investor to implement the project in accordance with the law.

- Quarter III / 2021 - Quarter II / 2022: Implementation of procedures for land, planning, construction, and environmental impact assessment; submitting

to the Prime Minister for approval the establishment of Yen Binh concentrated information technology zone; Clearance.

- Quarter I / 2022 - Quarter IV / 2024: Investing in the construction of the project's items and equipment installation, operating a part of the project.

- Quarter I / 2025: Completion and operation of the whole project

**8. Project execution duration:** 50 years from the date of approving the result of Investor selection to implement the project

**9. Proposed form of investor selection:**

- Bidding to select investors according to the provisions of the Bidding Law.

- Conditions for Investors:

+ Satisfying the provisions of law related to the selection of investors to implement information technology park projects.

+ Equity of the investor who wins the bid for project execution must not be lower than 15% of the total investment of the project.

**10. Preliminary total project implementation cost:** Approximately over 2.021 billion VND (two thousand and twenty one billion VND)

*(Project implementation cost will be calculated in detail as a basis for organizing bidding when approving the list of investment projects using land to select investors in accordance with law).*

**11. Investment incentives and supports:** The project is eligible for incentives and supports according to current law provisions.

**12. Effective date of investment policy approval:** An investment policy approval document takes effect from the date it is signed and approved.

**13. Assign tasks of departments, branches and localities**

- The Department of Planning and Investment presides over and coordinates with relevant departments, agencies and units to review and take responsibility for the legality and accuracy of information, data and advisory content related to project investment policy approval. To guide and coordinate with the Department of Information and Communications in implementing the

project implementation steps in accordance with the law on bidding of investor selection.

- Department of Planning and Investment, Department of Construction, Department of Finance, Department of Natural Resources and Environment, Department of Agriculture and Rural Development, Pho Yen Town People's Committee, Phu Binh District People's Committee and relevant departments, sectors and units based on the provisions of law and the functions and duties of the unit shall guide and coordinate with the Department of Information and Communications in implementing the prescribed procedures; at the same time perform administrative management, inspection and supervision in accordance with current regulations.

14. Chief of the Provincial People's Committee Office; Heads of departments: Planning and Investment, Finance, Construction, Natural Resources and Environment, Agriculture and Rural Development, Information and Communication; Chairman of Pho Yen Town People's Committee, Chairman of Phu Binh District People's Committee and relevant organizations and individuals are responsible for implementation.

***Recipient:***

- As Article 14;
- Standing Provincial Party Committee;
- Chairman, Vice Chairman of Provincial People's Committee;
- Leaders of Provincial People's Committee Office;
- Save: VT, TH.

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**ON BEHALF OF THE  
PEOPLE'S COMMITTEES  
CHAIRMAN**

**Trinh Viet Hung**

No.: 1271 /QB-UBND

Thai Nguyen, 26 April 2021

**DECISION**

**On approval of preliminary requirement of capacity and experience of investor implementing the project of investment in construction of Yen Binh concentrated information technology park, Thai Nguyen province**

**PEOPLE'S COMMITTEE OF THAI NGUYEN PROVINCE**

*Pursuant to the 2015 Law on Local Government Organization;*

*Pursuant to the 2013 Law on Bidding;*

*Pursuant to the 2013 Land Law;*

*Pursuant to the 2020 Investment Law;*

*Pursuant to the Government's Decree No. 25/2020 / ND-CP dated February 28, 2020, detailing the implementation of a number of articles of the Bidding Law regarding investor selection;*

*Pursuant to the Government's Decree No. 31/2021 / ND-CP of March 26, 2021 detailing and guiding the implementation of a number of articles of the Investment Law;*

*Pursuant to the Circular No. 06/2020 / TT-BKHDT dated September 18, 2020 of the Minister of Planning and Investment guiding the implementation of the Government's Decree No. 25/2020 / ND-CP dated February 28, 2020 detailing the implementation of a number of articles of the Bidding Law regarding investor selection;*

*Pursuant to the Approval Document No. 656 / UBND-CTĐT dated March 16, 2021 of the People's Committee of Thai Nguyen province on the approval of the investment policy of the project of investment in construction of Yen Binh concentrated information technology park, Thai Nguyen province ;*

*At the proposal of the Department of Planning and Investment in Report No. 930 / TTr-SKHDT dated April 16, 2021.*

**DECIDES:**

**Article 1.** To approve preliminary requirements on capacity and experience of investor implementing project of investment in construction of Yen Binh concentrated information technology park, Thai Nguyen province with the following basic contents:

*(With detailed Appendix attached)*

**Article 2. Organization of implementation:**

1. The Department of Information and Communications shall assume the main responsibility for, and coordinate with the Department of Foreign Affairs, the Department of Justice, the Department of Planning and Investment and relevant units to translate into English for the documents of approval of the policy investment, decision approving preliminary requirements on investor capacity and experience for the project to publish on the List of Projects on the electronic Government procurement system according to regulations. Pursuant to the provisions of law to implement the next steps of the project in accordance with regulations.

2. The Department of Planning and Investment shall assume the main responsibility for, and coordinate with the concerned units, according to the current regulations, to publish the project on the national bidding network system according to regulations. Guide and coordinate with the Department of Information and Communications in implementing the next steps of the project in accordance with the law.

3. The Information Center of Thai Nguyen province publishes the content of the Approval of investment policy; Decision approving preliminary requirements on investors' capacity and experience to the portal of Thai Nguyen province.

**Article 3.** Chief of the Office of the Provincial People's Committee; Heads of departments: Department of Planning and Investment, Department of Information and Communication, Department of Construction, Department of Finance, Department of Natural Resources and Environment, Department of Agriculture and Rural Development, Department of Taxation of the province; Chairman of Pho Yen Town People's Committee, Chairman of Phu Binh District People's Committee and relevant organizations and individuals are responsible for implementing this Decision./.

**Recipient:**

- As Article 3;
- Chairman, Vice Chairman of Provincial People's Committee;
- Leaders of Provincial People's Committee Office;
- Save: VT, CNN, QHXD, KT, TH.

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**ON BEHALF OF THE  
PEOPLE'S COMMITTEES  
CHAIRMAN**

**Trinh Viet Hung**



## APPENDIX

### PRIMARY REQUIREMENTS FOR CAPACITY AND EXPERIENCE OF INVESTORS TO IMPLEMENT VESTMENT PROJECTS USING LAND

*(Attached to Decision No.: / QD-UBND dated April 2021 of the People's Committee of Thai Nguyen Province)*

**Name of project: Investment in construction of Yen Binh concentrated information technology park, Thai Nguyen province**

#### Chapter I

### INSTRUCTIONS FOR INVESTORS

#### Section 1. CONTENTS OF INTEREST

1. The Department of Planning and Investment of Thai Nguyen province calls investors to submit documents of registration for implementation of the project of Investment in construction of Yen Binh concentrated information technology park, Thai Nguyen province.

#### 2. Project information:

- Investment objectives scale of the project:

+ Building a synchronous and modern infrastructure system to achieve the goals of developing information technology parks in accordance with the provisions of Decree No. 154/2013/ND-CP dated November 8, 2013 of the Government on information technology parks.

+ Creating a favorable business environment for investment activities to attract domestic and foreign capital and technology, contributing to the successful implementation of Resolution No.: 01-NQ/TU of Thai Nguyen Provincial Party Committee on the Program of Digital Transformation in Thai Nguyen province in the period 2021 - 2025 with a vision to 2030, to build Thai Nguyen province be come one of the leading provinces in the country in information technology and electronics application and development.

- Preliminary total project cost: 2,957,578,891,000 VND.

(Two thousand nine hundred fifty seven billion, five hundred seventy eight million, eight hundred ninety one thousand dong).

Include:

+ Preliminary project cost (m1): 1,645,542,910,000 VND.

+ Cost of compensation, support, and resettlement (m2):  
1,312,035,981,000 VND.

- Summary of the basic requirements of the project: Providing

infrastructure, services and necessary conditions for organizations and enterprises in the information technology field and related activities.

- Duration of operation, progress of project implementation:

+ Duration of the project: 50 years.

+ Project implementation schedule: 05 years from the effective date of the contract with the investor.

- Project implementation location: Tien Phong commune, Pho Yen town and Nga My commune, Phu Binh district, Thai Nguyen province.

- Land area of project: 200 ha.

Land use purpose: The subdivision group performs functions and tasks of the IT park in accordance with the provisions of Decree No. 154/2013 / ND-CP dated November 8, 2013 of the Government, including 02 subdivisions:

+ Group of subdivisions performing functions and tasks of information technology park.

+ Group of subdivisions providing services for information technology park.

- Targets of approved planning: In line with the master plan on development of IT parks to 2020 and orientations to 2025 in Document No. 1400 / TTg-KSTT dated October 14, 2020; Master plan of Pho Yen town, Thai Nguyen province to 2035 and orientation of zoning planning, scale of 1/2000 of Yen Binh urban, industrial, agricultural and service complex project; Land use planning in Thai Nguyen province approved by the Government in Resolution No. 51 / NQ-CP dated May 10, 2018; The land use plan in 2021 in Thai Nguyen city, Pho Yen town and Phu Binh district approved by the People's Council of Thai Nguyen province in Resolution No. 10 / NQ-HDND dated 23/3/2021.

- Current status of land: Land not yet cleared ground, mainly agricultural land such as planting crops, rice, production forest land, irrigation canals, internal traffic, current residential land.

- Other information about the project:

- Deadline for project implementation registration:

9h00, 07/16/2021

[specific time (date, etc.), but must ensure at least 30 days from the date on which a list of investment projects using land is posted]

## **Section 2. PRIMARY REQUIREMENTS FOR INVESTOR'S**

## **CAPACITY AND EXPERIENCE**

Preliminary requirements on investor's capacity and experience include requirements for financial capacity and business experience. Detailed contents according to Chapter II.

### **Section 3. CLARIFICATION OF PRIMARY REQUIREMENTS ON INVESTOR'S PERFORMANCE AND EXPERIENCE**

1. In case the investor wants to clarify the preliminary requirements on capacity and experience, the investor must send a request for clarification to the Department of Planning and Investment in writing or via the electronic Government Procurement System. At least 05 working days before the deadline for submission of project implementation registration documents for consideration and handling.

2. After receiving the written request for clarification within the prescribed time, the Department of Planning and Investment shall clarify and post it on the electronic Government Procurement System within at least 2 working days before the deadline for submission of the project implementation registration dossier, including the description of the clarification request, but not included the name of the investor requesting the clarification. In case the clarification leads to the need to modify preliminary requirements on capacity and experience, the provisions of Section 4 of this Chapter shall apply.

### **Section 4. MODIFICATION OF PRIMARY REQUIREMENTS ON INVESTOR'S CAPACITY AND EXPERIENCE**

1. In case of amendment of the preliminary requirements on capacity and experience, the Department of Planning and Investment shall post on the electronic Government procurement system a revised decision and revised contents before the deadline for submission within minimum 10-day of project registration/submission.

2. In case the time for notification of preliminary requirements for modification of capacity and experience does not meet the provisions of Article 1, Section 4, the Department of Planning and Investment shall carry out the time for submitting the project implementation registration dossier, respectively, to ensure the above-mentioned. The extension shall comply with the provisions of Article 3, Section 6.

### **Section 5. LANGUAGES**

Application for registration of project implementation and all documents/ documents exchanged between the Department of Planning and Investment and the investor relating to the EOI request must be written in Vietnamese and English.

### **Section 6. PROJECT REGISTRATION DOCUMENT AND DEADLINE**

1. A project implementation registration document prepared by an investor must include the forms and related documents specified in Chapter III.

2. Investor submits the application for project implementation registration on the National Bidding Network before 9 hours, date 07 month 6 year 2021 [*Insert deadline for submission of project implementation registration document, ensure that at least 30 days from the date of investment project list using land*].

3. The Department of Planning and Investment may extend the time for submitting the project implementation registration dossier in case the preliminary requirements on investor capacity and experience are amended according to Section 4 of this Chapter. It is necessary to increase the number of investors as prescribed at Point b, Article 2, Section 9 of this Chapter or in other necessary cases. Upon extension, the Department of Planning and Investment announces on the electronic Government Procurement System and sends a notice to all investors who have submitted a project application (if any) of the deadline for submission of registration project implementation.

#### **Section 7. MODIFICATION AND REPLACEMENT OF PROJECT REGISTRATION DOCUMENT**

After submitting, the investor can replace or modify the application for project implementation registration by submitting additional relevant documents and documents on the electronic Government procurement system before the deadline for submission of project implementation registration documents.

#### **Section 8. CLARIFICATION OF PROJECT REGISTRATION DOCUMENT**

The investor is responsible for clarifying the project implementation registration document at the request of the Department of Planning and Investment. All requests for clarification and investor feedback are done on the electronic Government Procurement System.

#### **Section 9. REPORT OF THE RESULTS OF PRIMARY ASSESSMENT OF INVESTORS AND EXPERIENCE**

1. After the results of preliminary assessment of investors' capabilities and experiences are available, the Department of Planning and Investment shall post a list of qualified investors on the electronic Government Procurement System.

2. In case only one investor satisfies the requirements, before posting information as prescribed in Article 1 of this Section, the Department of Planning and Investment shall report to the Chairmen of the People's Committee of the province for consideration and decision, according to one of the

following two options:

a) Option 1: Inform and guide the investor to submit the investment policy decision in accordance with the Law on Investment and other relevant laws as prescribed at Point b, Clause 3, Article 13. Decree No. 25/2020 / ND-CP dated February 28, 2020 of the Government.

b) Option 2: Extension of project implementation registration period. The extension time is decided by the Chairman of the People's Committee of the province and will be announced on the electronic Government procurement system. The Investor who has satisfied the requirements is not required to submit the project implementation registration document.

After extension, in case there are more investors meeting the preliminary requirements on capacity and experience, Article 3 of this Section shall apply. In case there are no more investors satisfying preliminary requirements on capability and experience, the provisions of Point a of this Article shall apply.

3. In cases where two or more investors satisfy the requirements, the investor selection will be conducted in the form of open bidding as prescribed at Point a, Clause 3, Article 13 of Decree No. 25/2020. / ND-CP dated February 28, 2020 of the Government. Bidding documents are widely issued to investors, including those outside the list of investors who have met preliminary requirements on capacity and experience.

## Chapter II

### PRIMARY REQUIREMENTS FOR INVESTOR'S CAPACITY AND EXPERIENCE

No.	Content	Requirements
1	Financial capacity <sup>(1)</sup>	<p>Minimum equity to be arranged by investors (2): VND 591,515 billion (<i>equivalent to 20% of total project investment</i>)</p> <p>In the case of a joint venture investor, the joint venture investor's equity is equal to the total equity of the joint venture members. At the same time, each joint venture member must meet the requirements corresponding to the equity stake under the partnership agreement. If any joint venture member is assessed as non-responsive, the joint venture investor is assessed as unsatisfactory for equity.</p> <p>The investor representing a partnership must have a minimum capital ownership ratio of 30%, each joint venture member has a minimum capital ownership ratio</p>

		of 15% in the partnership.
2	Experience <sup>(3)</sup>	<p>Minimum number of projects that an investor or a joint venture member or partner has participated in as an equity investor or main contractor: 01 (one project) .</p> <p>The method of defining the “project” is as follows (4):</p> <ul style="list-style-type: none"> <li>- Type 1: Construction investment project of technical infrastructure works; investment in construction of traffic works; construction investment with a mixed function in which the investor has participated as an investor to contribute equity and fully satisfies the following conditions: <ul style="list-style-type: none"> <li>+ Project with minimum total investment equal to (5) 2.070 billion VND.</li> <li>+ Projects in which the investor has a minimum equity value equal to (6) 414.05 billion VND.</li> <li>+ The project has completed construction phase in recent 03 years.</li> </ul> </li> <li>- Type 2: Construction investment projects of technical infrastructure works, investment in the construction of traffic works, construction investment with mixed functions in which the investor has participated as main/direct contractors / representatives perform construction and installation bidding packages and fully meet the following conditions: <ul style="list-style-type: none"> <li>+ The value of the work that the investor participates in the bidding package / contract is at least equal to (7) VND 830 billion.</li> <li>+ The package / contract has ended in the last 3 years.</li> </ul> </li> <li>- Type 3: Construction investment projects of technical infrastructure works, investment in the construction of traffic works, construction investment with mixed functions that partners have joined in the role of is the main contractor performing construction and installation bidding packages and fully meets the following conditions: <ul style="list-style-type: none"> <li>+ The value of the work that the investor participates in the bidding package / contract is at least equal to (7) VND495 billion.</li> <li>+ The package / contract has ended in the last 5 years.</li> </ul> </li> </ul> <p>Investor's experience is equal to the total number of</p>

		<p>projects implemented by the investor / joint venture member and partner.</p> <p>Projects / bidding packages of investors / implementing partners must ensure that they meet the technical and quality requirements under the signed contracts to be considered and evaluated (9).</p>
3	Other requirements <sup>(10)</sup>	<ul style="list-style-type: none"> <li>- Have a cooperation agreement/ a memorandum of understanding (MoU) with at least one partner, a large corporation operating in the information technology sector in Vietnam, to invest in IT parks.</li> <li>- Investor / investor partnership commits to provide credit from the bank for the capital raised in addition to equity for the implementation of the project.</li> </ul>

Notes:

(1) If the investor is an organization newly established during the year, the investor's financial capacity is assessed on the basis of financial statements audited by an independent auditor from the time of establishment, up to 28 days before the deadline for project implementation registration.

(2) Investor's equity is determined on the basis of updated investor's financial data for a period of up to 28 days prior to the deadline for submission of application for project implementation. and commitment to raising equity capital of investors. Investors must declare information and provide documents on financial capacity according to Form No. 02 in Chapter III.

Remaining equity of investor = Total equity - Costs related to litigation - Committed equity for ongoing projects and other long-term investments (if any) - Equity must be retained in accordance with regulations (Equity is used exclusively for allocation or as required by legal requirements with Investors; Equity must be retained according to requirements of special provisions for Where possible; Other equity is committed to be returned and not used for dividend statements, ...).

(3) For projects in new or large-scale investment sectors, there is no investor with experience in implementing similar projects, it is possible to review and base data on real projects. The project's investment divergence capability is considered to determine the requirement of investor's experience.

In this case, the investor's experience requirement may be revised in a lower direction than the instructions, but it must be consistent with the reality and requirements of the project. In addition, during the review and evaluation process, investors must ensure that investors have sufficient financial capacity and technical capacity to implement the project. Requirements for project implementation in the Bidding Documents as well as the contract must ensure full regulation of investor's responsibilities as well as handling measures (penalty, compensation, legal process in the next stage), in the case that the project investor does not meet the progress and quality requirements. At the same time, the authorized person may specify a contract performance guarantee value greater than 3% but not more than 10% of the project's total investment.

(4) Investors provide experience according to Form No. 03 in Chapter III. Investors are only allowed to quote experience in implementing a similar project once.

Partners who jointly implement the project include: Financial providers; Building contractors; Operational contractor, manager.

- How to convert "projects":

+ 01 project category 1 is counted as 01 project;

+ 01 project class 2 is counted as 0.7 project class 1;

+ 01 project category 3 is counted as 0.5 project class 1.

- Method of rounding in case the number of projects is converted to an odd number:

+ If the number of converted projects is  $<0.5$ , it will not be counted;

+ If the number of converted projects is  $\geq 0.5$  and  $<1.5$  projects, then 01 project:

+  $\geq 1.5$  and  $<2.5$  projects, including 02 projects;

(5); (6); (7); (8) For projects whose total investment value has not been determined in the approval documents or project contracts, the investor must provide supporting documents to determine this value.

(9) The investor must provide acceptance and liquidation records or certification by state agencies to prove the satisfaction of technical and quality requirements for real projects / bidding packages.

(10) Based on the size, field and specific conditions (if any) of the project, the investor must give other appropriate criteria. Specifically:

\* Notes on additional contents:

- The cooperation agreement or a memorandum of understanding is a commitment to invest in Yen Binh concentrated information technology park after being established and put into operation.

- Bank credit for the project is the basis for the project to be implemented on schedule, ensuring the feasibility of the project.

## **Chapter III**

### **FORMS**

1. Form No. 01: Investor information
2. Form No. 02: Financial capacity of the investor
3. Form No. 03: Experience in implementing similar projects

**Form No. 01**

**INFORMATION ABOUT INVESTORS AND PARTNERS**



**I. Information about the investor / consortium member <sup>(1)</sup>**

1. Name of investor / consortium member:
2. Country where the investor / consortium member's operation is registered:
3. Year of establishment:
4. Legal address of investor / consortium member in the country of registration:
5. Investor / joint venture member's legal representative information:
  - Name:
  - Address:
  - Phone / fax number:
  - Email address:
6. Expected rate of capital contribution in the partnership:

**II. Information of partners**

No.	Name of partners <sup>(2)</sup>	Country of operation registration	Role of partnership <sup>(3)</sup>	Legal Representative	Contract /agreement with partner <sup>(4)</sup>
1	<i>Company # 1</i>		<i>[Financial organization]</i>		
2	<i>Company # 2</i>		<i>[Building contractors]</i>		
3	<i>Company # 3</i>		<i>[Operation / Management Contractor]</i>		
	.....				

**Notes:**

(1) In case of joint venture investor, each consortium member must declare according to this Form. At the same time, the investor must enclose a copy of the written agreement between the joint venture members on the project implementation, clearly stating the expected rate of capital contribution in the partnership. The investor / joint venture member should submit a photocopy of one of the following documents: Enterprise registration certificate, establishment decision or equivalent document issued by a competent authority of the country where the investor is operating.

(2) The investor shall specify the names of partners participating in the project implementation.

(3) The investor specifies the participation of each partner.

(4) Investor specify the number of contract/ agreement, together with certified photocopy of those documents.

**Form No. 02**

**FINANCIAL CAPACITY OF INVESTORS <sup>(1)</sup>**

1. Name of investor / consortium member:

## 2. Financial capacity of investor / consortium member:

### a) Summary of financial data <sup>(2)</sup>:

No.	Content	Total
1	Total equity	
2	Costs related to litigation (if any)	
3	Equity committed for ongoing projects and other long-term investments (if any)	
4	Equity must be retained according to regulations	
5	Investors' remaining equity	(5) = (1) - (2) - (3) - (4)

### b) Attached documents <sup>(3)</sup>

Attached is documents evidencing the financial data of the investor updated within a maximum of 28 days before the deadline for submitting the application for project implementation registration:

- Copies of audited financial statements within 28 days prior to the deadline for submission of application for project implementation (investors can use annual financial statements, mid-year financial statements level, report on the use of equity).

Reports provided by investors must ensure compliance with legal regulations on finance and accounting, reflecting the individual financial position of investors or joint venture members (if they are joint venture investors) and not the financial position of an associate such as a parent-company or subsidiary or an associate with an investor or a partnership.

- If the investor does not have an audited financial statement within 28 days prior to the deadline for submission of a project implementation application, the investor does not have an audited financial statement during this period. The investment must provide audited financial statements at the most recent time.

At the same time, investors are required to declare and document a change in the financial data from the time of the auditor's report to the time of their bid (e.g., supporting documents of increasing equity). Investors are responsible for the information that has been declared. In case the declared information is found to be inaccurate, falsifying the evaluation results, the investor will be considered / rejected according to regulations.

- If the investor is a newly established organization in the year, the investor must submit a financial statement audited by an independent auditing firm from the time of establishment to before the deadline for submission of the actual registration. currently project up to 28 days.

Notes:

(1) In case of investor consortium, each joint venture member must declare according to this Form.

- (2) Based on evaluation criteria, investors are requested to supplement appropriate information.
- (3) Based on the evaluation criteria, it is possible for the investors to submit supplementary documents for the proval of financial capacity (for example: inspection report of tax finalization, tax self-finalization declaration; financial data proving that investors have made electronic tax finalization declaration; certification of tax administration agencies; ...).

**Form No. 03**

**EXPERIENCE IN IMPLEMENTING SIMILAR PROJECTS <sup>(1)</sup>**

(Location), date \_\_\_ month \_\_\_ year \_\_\_

*[Investor lists experience in implementing similar projects as required]*

1. Project No. 01: \_\_\_\_\_ [ *name of project* ]

Name of investor / consortium member / partner implementing the project	
1	Contract number: _____ Signing date: _____
2	Title of Project / bidding package: _____
3	Project investment area: _____
4	Join the project as: <input type="checkbox"/> Independent investor <input type="checkbox"/> Joint venture member <input type="checkbox"/> Main contractors
5	Name of competent authority / representative of competent authority (for project); Investor / Investor's Representative (for bidding package): Address: Name of contact person: Phone: Fax: Email:
6	Detailed information
6.1	Participating in project implementation as an investor / joint venture member
	Project implementation progress and quality <sup>(2)</sup> :
	Total investment:
	Capital contribution ratio (in case investor is a joint venture member):
	Equity has been raised:
	Brief description of the similarities implemented projects with the project:
	- Brief description of the work performed: - Value of the work part (s) performed: (Value and currency) equivalent ... VND
	- Brief description of the work to be done: - Value of the remaining work (s) to be performed: (Value and currency) equivalent ... VND
	History of disputes and litigation:
	Brief description of special technical / operational requirements:
6.2	In the case of participating in project implementation as a contractor:
	Scope of work involved in implementation:

	Value of the work involved in implementation:
	Progress and quality of implementation <sup>(3)</sup> :
	History of disputes and litigation:
	Brief description of special technical requirements:

2. Project No. 02: \_\_\_\_\_ [name of project]

...

**Legal representative of investor**

*[Name, title, signature and stamp (if any)]*

Notes:

(1) The requirement to declare information in this Form may be adjusted and supplemented to match the investor's preliminary requirement on capacity and experience.

(2), (3) The investor must provide documents evidencing the declared information and the progress and quality of the contract performance such as notarized copies of the contract, acceptance and liquidation of the contract, certification by competent state agencies / representatives of competent state agencies (for projects), investor / investor's representatives (for bidding packages) ...

